

**CU Investment Solutions LLC**  
**Statements of Financial Condition**

	October 31		September 30,
	2019 <i>(unaudited)</i>	2018 <i>(unaudited)</i>	2019 <i>(unaudited)</i>
<b>Assets</b>			
Cash	\$ 1,847,527	\$ 276,425	\$ 2,042,126
Investments, at fair market value	-	1,227,253	-
Receivables:			
Commissions	72,716	4,696	58,547
Advisory fees	17,032	17,032	4,466
Other	2,400	2,381	-
Accrued interest	-	1,553	-
Total receivables	92,148	25,662	63,013
Other assets	64,075	76,482	38,959
<b>Total Assets</b>	\$ 2,003,750	\$ 1,605,823	\$ 2,144,098
<b>Liabilities and Equity</b>			
Liabilities:			
Commissions payable	\$ 209,433	\$ 48,494	\$ 220,574
Deferred revenue	333,333	333,333	375,000
Accounts payable	21,264	17,077	26,873
Accrued liabilities	60,753	134,348	182,925
Total Liabilities	624,783	533,252	805,372
Members' Equity:			
Members' shares	800,000	800,000	800,000
Retained earnings*	578,967	272,570	538,726
Total Members' Equity	1,378,967	1,072,570	1,338,726
<b>Total Liabilities and Members' Equity</b>	\$ 2,003,750	\$ 1,605,823	\$ 2,144,098

**CU Investment Solutions LLC**  
**Statement of Income (unaudited)**

---

	<b>One Month Ended</b>	
	<b>October 31</b>	
	<b>2019</b>	<b>2018</b>
<b>Revenue</b>		
Corporate agent program	\$ 296,895	\$ 69,501
Financial product sales	49,893	9,223
Platform fee revenue	41,667	41,667
Advisory and other income	19,431	19,413
Net interest income	811	1,589
<b>Total Revenue</b>	<b>408,697</b>	<b>141,393</b>
Net gains (losses) on investment securities	-	870
<b>Expenses</b>		
Salaries and benefits	\$ 85,215	\$ 90,914
Commissions	223,156	51,183
Professional and outside services	34,867	23,220
Office occupancy and administration	8,439	7,874
Data processing	5,148	5,104
Memberships and registrations	3,312	3,468
Travel and incidentals	1,280	1,176
Insurance and other	7,038	7,338
<b>Total Expenses</b>	<b>368,455</b>	<b>190,279</b>
<b>Net Income/(Loss)</b>	<b>\$ 40,242</b>	<b>\$ (48,016)</b>