

CU Investment Solutions LLC
Statements of Financial Condition

	June 30		September 30,
	2018 <i>(unaudited)</i>	2017 <i>(unaudited)</i>	2017 <i>(audited)</i>
Assets			
Cash	\$ 212,042	\$ 851,526	\$ 633,710
Investments, at fair market value	1,222,294	1,227,601	1,228,218
Receivables:			
Commissions	5,586	67,192	14,171
Advisory fees	8,632	4,466	8,632
Other	-	1,548	791
Accrued interest	3,131	3,131	724
Total receivables	17,349	76,337	24,318
Other assets	50,412	50,325	42,863
Total Assets	\$ 1,502,097	\$ 2,205,789	\$ 1,929,109
Liabilities and Equity			
Liabilities:			
Commissions payable	\$ 65,920	\$ 135,019	\$ 80,481
Deferred revenue	-	-	-
Accounts payable	13,345	7,498	14,296
Accrued liabilities	183,295	149,389	204,037
Total Liabilities	262,560	291,906	298,814
Members' Equity:			
Members' shares	800,000	900,000	800,000
Retained earnings*	439,537	1,013,883	830,294
Total Members' Equity	1,239,537	1,913,883	1,630,294
Total Liabilities and Members' Equity	\$ 1,502,097	\$ 2,205,789	\$ 1,929,109

* Net of cumulative capital distributions of \$662,782 in '12, \$800,000 in '13 and \$13,869 in '14

CU Investment Solutions LLC
Statement of Income (unaudited)

	One Month Ended June 30		Nine Months Ended June 30	
	2018	2017	2018	2017
Revenue				
Corporate agent program	\$ 92,699	\$ 195,376	\$ 1,255,808	\$ 2,478,050
Financial product sales	2,953	48,303	440,863	353,820
Advisory and other income	8,632	8,632	142,427	120,488
Net interest income	579	1,560	12,741	14,111
Total Revenue	104,864	253,871	1,851,839	2,966,469
Net gains (losses) on investment securities	342	(645)	(7,044)	(14,770)
Expenses				
Salaries and benefits	\$ 89,366	\$ 87,722	\$ 820,710	\$ 792,837
Commissions	67,860	145,032	947,236	1,773,644
Professional and outside services	26,799	26,509	230,512	220,893
Office occupancy and administration	7,811	7,438	69,759	70,344
Data processing	4,934	5,269	45,375	48,900
Memberships and registrations	2,372	3,041	24,066	32,309
Travel and incidentals	2,396	946	21,905	17,765
Insurance and other	8,100	6,516	75,990	57,611
Total Expenses	209,638	282,472	2,235,552	3,014,303
Net Income/(Loss)	\$ (104,432)	\$ (29,246)	\$ (390,757)	\$ (62,604)