CU Investment Solutions LLC
Statements of Financial Condition

	November 30, 2011 (unaudited)	September 30, 2011 (audited)
Assets		
Cash	$ 2,050,486	$ 1,489,979
Receivables:		
Commissions	96,741	653,267
Advisory fees	33,983	33,983
Accrued interest	-	12
Total receivables	130,724	687,262
Income taxes receivable	20,985	62,298
Other assets	54,495	31,216
Total Assets	$ 2,256,690	$ 2,270,755
Liabilities and Equity		
Liabilities:		
Commissions payable	$ 242,816	$ 411,087
Due to US Central	-	53,341
Accounts payable	30	26,455
Accrued liabilities	217,882	159,443
Total Liabilities	460,728	650,326
Equity:		
Member shares	1,000,000	1,000,000
Retained earnings	795,962	620,429
Total Equity	1,795,962	1,620,429
Total Liabilities and Equity	$ 2,256,690	$ 2,270,755

CU Investment Solutions LLC
Statement of Income and Change in Retained Earnings (unaudited)

	One Month Ended November 30, 2011	Year To Date November 30, 2011
Revenue		
Transaction and advisory income	$ 457,688	$ 1,057,171
Net investment income/(expense)	(326)	459
Total Revenue	457,362	1,057,630
Expenses		
Salaries and benefits	89,051	$ 191,367
Professional and outside services	311,579	649,586
Office occupancy and administration	9,186	15,681
Data processing	4,858	10,567
Insurance	(289)	2,587
Travel and incidentals	3,116	4,144
Other	6,358	8,165
Total Expenses	423,859	882,097
Net Income	$ 33,503	$ 175,533
Retained Earnings - Beginning of the Period	762,459	620,429
Retained Earnings - End of the Period	$ 795,962	$ 795,962